

ORIGINAL NEW APPLICATION



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BEFORE THE ARIZONA CORPORATION COMMISSION

2006 AUG 17 P 1:35

COMMISSIONERS

JEFF HATCH-MILLER, Chairman
WILLIAM A. MUNDELL
MIKE GLEASON
KRISTIN K. MAYES
BARRY WONG

AZ CORP COMMISSION
DOCUMENT CONTROL

In the matter of:

AMERIPRISE FINANCIAL SERVICES, INC.

Ameriprise Financial Center
707 Second Avenue South
Minneapolis, MN 55474

Respondent.

DOCKET NO. S-20427A-06-0526

FILING TO OPEN DOCKET

In connection with its investigation into the above-referenced matter, the Arizona Corporation Commission ("Commission"), Securities Division ("Division"), requests that a docket be opened for the purposes of assigning a docket number to a proposed Order for Relief and Consent to Same tentatively scheduled to be considered by the Commission at the September 7, 2006 public open meeting.

Respondent:

AMERIPRISE FINANCIAL SERVICES, INC.
Ameriprise Financial Center
707 Second Avenue South
Minneapolis, MN 55474

Arizona Corporation Commission
DOCKETED

AUG 17 2006

DOCKETED BY

NEA

Respondent's Attorney:

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